

# UNIT TRUST

## Additional Application Form

### Important information

Please complete all relevant sections of this form, and send the required documents to [27fouradmin@prescient.co.za](mailto:27fouradmin@prescient.co.za). If your investment amount is received without an application form and supporting documentation, it will not be processed until the required documentation is received. Should the documentation not be received within 30 days the amount received will be paid back to the investor and 27four will not be held liable for any losses incurred during this period. Interest will only be calculated from day 2 of the amount being received.

Cut off times for receiving instructions are 13:00 (SA) except for the Money Market Funds where the cut off time is 11:00 (SA).

27four Collective Investments (RF) Pty Ltd "27four" is authorised as a Manager in terms of the Collective Investment Schemes Control Act.

The unitholder administration is performed by Prescient Fund Services (Pty) Ltd, "Administrator".

### Complete the form and submit documents (if any details have changed)

Proof of your deposit or your electronic fund transfer

If applicable, a completed "Acting on Behalf of the Investor form" plus the supporting documents referred to therein

### Provide your personal details

Client Number

Full Name

ID or Passport  
number (if  
foreign national)

### Banking details of investor (if changed)

Account holder

Bank

Account number

Type of account

Name of branch

Branch code

### Signature of account holder

Note:

- The account holder must have a South African bank account.
- Payments can only be processed to an account registered in the name of the investor.
- No payments will be made to credit cards, market-linked securities and/or third party bank accounts.

## Complete if you have a financial advisor

If you have a financial advisor, please review this section.

Name of financial services provider (FSP)	<input type="text"/>		
FSP license number	<input type="text"/>	Name of financial advisor	<input type="text"/>
Date of birth	<input type="text"/>	Email address	<input type="text"/>

Please specify below if you would like the existing initial fee to apply to the additional lump sum contribution or indicate the fee that should be applied to the additional contribution.

Apply the existing initial fee to this transaction

OR

Maximum 3.0% (excluding VAT) deducted prior to the investment being made. Where the annual fees are more than 0.5%, initial fees are capped at 1.5%. If it is agreed that no initial fee is payable, insert 0%.

The ongoing fee structure will follow the initial application.

By signing this form you agree that above negotiated fee will be paid on this and all future transactions unless otherwise specified.

## Source of funds invested

Specify the source of funds. We reserve the right to request documentary proof (e.g., income statement, bank statement, etc). This information is required by legislation and we need it in order to process your investment. Select one of the options:

Salary

Bonus/company profit

Investment proceeds

Sale of assets

Inheritance

Other (specify)

## Select your method of payment

### 1. Lump sum

Amount R

Please note that any bank charges associated with cash deposits will be recovered from your investment account.

#### Electronic / internet transfer

Electronic internet transfers may take up to two business days to appear in the bank account. An investment may only be made upon receipt of documentation and funds into the account.

#### Electronic collection

A once-off debit from your bank account is restricted to R1 million per day. A 40-day clearing period will be in place for electronic collections. Withdrawals will only be processed after 40 days.

Collection date:

**2. Regular debit order**

I/we hereby authorise 27four to deduct the stated amount for the investment from the bank account provided in your application form or below. I agree to pay bank charges and costs incurred by this debit order. Any debit order amendment must be received in writing by the manager prior to the 7th day of the month in order for it to be acted upon in the following month.

Monthly debit order amount R  To be collected on  the 1st of the month  or on the 15th of the month

Commencement date:  Annual escalation %

**3. Banking details for debit order deduction/electronic collection (if different from investor's bank details):**

Account holder  Bank   
 Account number  Type of account   
 Name of branch  Branch code

\_\_\_\_\_  
**Signature of account holder**

**Your investment details**

You must allocate your lumpsum and/or monthly debit order into one or more of the below unit trusts. Indicate the rand amount per unit trust below. *Please refer to the Investment Option Brochure to assist in completing the below.*

Unit Trust Portfolio	Unit Trust Class	Investment amount lump sum (min R 10 000)	Debit order (min R 1 000)	*Reinvest distributions (if "Yes" ✓)

\*Should you elect to have your distributions paid out, they will be paid into the bank account specified in this application. All distributions below R1 000 will automatically be re invested.

## Authorisation and declaration

1. I have read and fully understood all the pages of this application form and agree to the [Terms and Conditions](#).
2. I warrant that the information contained herein is true and correct and that where this application is signed in a representative capacity, I have the necessary authority to do so and that this transaction is within my power.
3. I authorise 27four to deduct any debit orders, electronic collections, any applicable taxes and also to pay all fees.
4. I understand and agree that no part of the services provided by 27four constitutes a solicitation, recommendation, guidance or proposal, nor does it constitute financial, tax, legal, investment or other advice.
5. I understand that 27four will accept instructions from my FSP only if duly appointed and authorised in writing by me. 27four will not be held liable for any losses that may result from unauthorised instructions given to 27four by my FSP.
6. I authorise 27four to accept and act upon instructions by e-mail and hereby waive any claim that I have against 27four and indemnify 27four against any loss incurred as a result of 27four receiving and acting on such communication or instruction.
7. I consent to my personal information being processed in accordance with the [Terms and Conditions](#).

Full name	<input type="text"/>		
Signed at	<input type="text"/>	Capacity	<input type="text"/>
Date	<input type="text"/>		

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**Signature of investor / legal guardian**

\*Please note, if signing on behalf of the investor please provide proof of authority and supporting verifying documentation.

## Contact

27four Collective Investments (RF) (Pty) Ltd

5 Cavendish Street Claremont Cape Town 7708	P O Box 44467 Claremont, Cape Town	Tel: 021 671 2173 <a href="mailto:27fouradmin@prescient.co.za">27fouradmin@prescient.co.za</a> <a href="http://www.27fourplatform.com">www.27fourplatform.com</a>
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## Compliance department

Compliance Officer	Charl Schmahl
Physical Address	5 Cavendish Street, Claremont, Cape Town, 7708
Telephone	+27 21 671 2173
E-mail	<a href="mailto:compliance@27four.com">compliance@27four.com</a>

## Complaints

Please do not hesitate to contact us if you are not satisfied with this investment or the services received from 27four. A complaint must be submitted to the Compliance Officer. 27four will acknowledge the complaint in writing and will inform the investor of the contact details of the persons involved in the resolution thereof. Should you wish to lodge a complaint regarding the services being provided, an e-mail can be sent to [complaints@27four.com](mailto:complaints@27four.com) or alternatively you can obtain our complaints policy, conflict of investment management policy from the compliance department (address above).

If an investor is not satisfied with the response from 27four or if an investor has a complaint about the advice given by the Financial Advisor, he/she has the right to address his/her complaint in writing to the Ombud for Financial Services Providers at the address below. The Ombud is legally empowered to investigate and adjudicate complaints in a procedurally fair, economical and expeditious manner.

P O Box 74571 Lynnwood Ridge 0040	Tel: +27 12 470 9080 Fax: +27 12 348 3447 E-mail: <a href="mailto:info@faisombud.co.za">info@faisombud.co.za</a>
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## Investment Option Brochure

Unit trust portfolio	ASISA classification	Investment objective	Risk rating	Benchmark	Reg28	Income distribution	Annual management fee	Annual distribution fee
Lodestar Global Equity 27four Fund - A1	Global - Equity - General	The fund aims to outperform the MSCI World Index over rolling three year period.	High	MSCI World Index	No	Annually, last day business day of March.	0.60%	N/A
Lodestar Global Equity 27four Fund – B1	Global - Equity - General	The fund aims to outperform the MSCI World Index over rolling three year period.	High	MSCI World Index	No	Annually, last day business day of March.	0.40%	N/A
Lodestar Core Equity 27four Fund – B1	SA - Equity - General	To outperform the FTSE/JSE Capped SWIX Total Return over rolling three year periods	High	FTSE/JSE Capped SWIX Total Return	No	Annually, last day business day of March.	0.30%	N/A

### Notes:

1. The annual management fee is the fee charged by 27four for managing or administering the fund. The annual management fee is ex-VAT
2. ASISA is the Association for Savings and Investments South Africa.
3. The ASISA classification is when ASISA classifies portfolios that are registered with the FSCA according to where they invest, either being locally or off-shore, and what they invest in (e.g. shares or bonds).
4. The Benchmark is an index or measure which is used to calculate the rate of return that a portfolio needs to achieve.
5. Regulation 28 of the Pension Funds Act 24 of 1956 determines investment limits that are considered suitable for retirement savings purposes. Some Portfolios are managed to comply with these limits.